# **Code of Conduct Complaints - Status Report**

### **Complaints received**

2012	Cases received	Cases open (cumulative)	Assessed by Committee – investigation	Assessed by Committee – no investigation	To be assessed by Committee/other	Cases closed
January	3	23	1	2	0	0
February	1	17	0	1	0	7
March						
April						
May						
June						
July						
August						
September						
October						
November						
December						
Totals	4	n/a	1	3	0	7

Appeals received	
0	
0	
	_
	_
	_
	_

### Hearings

2012	Number of hearings	Date(s) & type(s) of hearing	Outcome	Appeal (Y/N)
January	5	19/01/12 – 2 x Review Sub-Committee 31/01/11 – 3 x Consideration Sub-Committee	2 x no further action 3 x no failure to comply	n/a
February	6	09/02/12 – 3 x Assessment Sub-Committee 21/02/12 – 1 x Determination Sub-Committee 23/02/12 – 2 x Assessment Sub-Committee	3 x no further action 1 x breach (no sanction) 1 x no further action & 1 x investigation	

# Investigations - open

Case reference	Date of Assessment hearing	Progress	Estimated date of final report
	3		
WC 39/11	13/07/11	Awaiting comments on draft report	March 2012
WC 42/11	02/08/11	Interviews completed	March 2012
WC 43/11	02/08/11	Interviews ongoing	March 2012
WC 47/11	09/08/11	Final report issued 22/02/2012	N/A
WC 48/11	09/08/11	Final report issued 22/02/2012	N/A
WC 49/11	09/08/11	Final report issued 22/02/2012	N/A
WC 53/11	09/08/11	Final report issued 22/02/2012	N/A
WC 50/11	09/08/11	Final report issued 15/02/2012	N/A
WC 51/11	09/08/11	Final report issued 15/02/2012	N/A
WC 52/11	09/08/11	Final report issued 15/02/2012	N/A
WC 55/11	13/10/11	Investigation underway	Spring 2012
WC 56/11	13/10/11	Report being drafted	March 2012
WC 58/11	07/11/11	Report being drafted	March 2012
WC 03/12	23/02/12	Investigating Officer to be appointed	

### Complaints received 2009 – 2011

	Cases received	Cases open (cumulative)	Assessed by Committee – investigation	Assessed by Committee – no investigation	To be assessed by Committee/other	Cases closed
April 2009 to December 2009	44	23	18	10	16	21
January 2010 to December 2010	33	18	4	27	2	38
January 2011 to December 2011	67	20	18	49	0	65

Appeals received
1 (original decision overturned)
9 (original decisions upheld)
9 (original decisions upheld)

## **Hearings 2009 - 2010**

	Type of Hearing	Outcome
2009	Assessment sub-committees - 27	Investigations – 14 Other action – 2 No further action – 11
2010	Assessment sub-committees – 29	Investigations – 4 Other action – 4 No further action – 21
	Review sub-committees – 10	Original decision upheld – 9 Original decision overturned – 1
	Consideration sub-committees - 11	Referral for determination – 7 Finding of no breach accepted – 4
	Determination sub-committees - 6	No breach – 2 No breach & breach (training) - 1 Breach – 3 = 1(censure), 1(training) & 1(no further action)

## **Hearings 2011**

	Type of Hearing	Outcome
2011	Assessment sub-committees – 71	Investigations - 19 Other action - nil No further action – 52
	Review sub-committees – 9	Original decision upheld – 9
	Consideration sub-committee – 7	Referral for determination - 6 Finding of no breach accepted – 1
	Determination sub-committee – 7	No breach – 6 No breach & breach (no sanctions) - 1

### Investigations - closed

Case reference	Date of Assessment hearing	Outcome
WC 06/09	13/05/09	Determination Sub-Committee 13 May 2010 – failure to comply (sanction – training)
WC 14/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply
WC 15/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply
WC 18/09	13/05/09	Determination Sub-Committee 6 October 2010 – no failure to comply
WC 19/09	13/05/09	Determination Sub-Committee 6 October 2010 - no failure to comply
WC 20/09	23/06/09	Determination Sub-Committee 17 March 2011 – no failure to comply
WC 24/09	07/07/09	Determination Sub-Committee 12 April 2010 – failure to comply (sanction- censure)
WC 30/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 31/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 32/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 33/09	07/07/09	Determination Sub-Committee 14 October 2010 – 1 no failure to comply & 1 failure to comply (sanction – training)
WC 38/09	19/11/09	Determination Sub-Committee 5 July 2010 – failure to comply (no further action)
WC 42/09	03/02/10 (Review Sub-Ctte)	Consideration Sub-Committee 7 September 2010 – no failure to comply
WC 09/10	25/05/10	Consideration Sub-Committee 14 December 2010 – no failure to comply
WC 10/10	25/05/10	Determination Sub-Committee 30 March 2011 - 1 no failure to comply & 4 failures to comply (no sanction)
WC 12/10	19/08/10	Consideration Sub-Committee 24 February 2011 – no failure to comply
WC 43/09	02/12/09	Determination Sub-Committee 2 September 2011 - no failure to comply
WC 45/09	02/12/09	Determination Sub-Committee 2 September 2011 - no failure to comply
WC 38/11	07/06/11	Investigation discontinued 16/09/11 due to resignation of subject member
WC 34/11	07/06/11	Consideration Sub-Committee 31 January 2012 – no failure to comply
WC 36/11	07/06/11	Consideration Sub-Committee 31 January 2012 – no failure to comply
WC 37/11	07/06/11	Consideration Sub-Committee 31 January 2012 – no failure to comply
WC 33/11	13/05/11	Determination Sub-Committee 21 February 2012 – failure to comply (no sanction)

Casestatusreport 07/03/12